# Decomposing and Regularizing Sparse/Non-sparse Components for Motion Field Estimation

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#### Abstract

Regularizing motion field is critical to achieve accurate estimation of the motion field. As the motion field may include discontinuity (e.g., at the motion boundaries), traditional smoothness regularization may not work well. Among many approaches to handling motion discontinuity, recent attempts pursued a sparse representation of the motion field for regularization, and achieved quite encouraging results. However, statistics show that these methods tend to over-sparsify the motion field, and thus confronted by the non-sparse noise in practice.

In this paper, we propose to decompose the motion field into sparse and non-sparse components for the motion boundaries and small universal noises, respectively. This separation approach regularizes these two sources differently. We propose a novel and efficient optimization algorithm to solve this problem. In addition, our study reveals the in-depth connection between this noise separation approach and the influence function approach in robust statistics. We validate and evaluate our new approach on the Middlebury benchmark, and have achieved outstanding testing performance.

## **1. Introduction**

Motion estimation is a fundamental problem in many computer vision tasks. Originated from the classical methods [15, 19] in early 1980s, optical flow estimation has been advanced greatly and various techniques have been proposed. In general, these methods can be commonly viewed as an energy minimization problem:

$$E(\mathbf{u}) = E_D(\mathbf{u}) + E_S(\mathbf{u}),\tag{1}$$

where  $\mathbf{u} = [u_x, u_y]$  denotes the horizontal and vertical components of the motion field to be estimated from consecutive images frames.  $E_D$  measures the matching of the visual features over time, or data consistency.  $E_S$  gives a regular-



(d) An illustration of our idea

Figure 1. Component decomposition. (a) "RubberWhale" [3]; (b) ground truth flow field; (d) an illustration of our idea: motion variation  $|\nabla \mathbf{u}|$  can be decomposed into sparse and non-sparse components. Flow maps are visualized using color code in (c).

ization of the flow field, or the flow prior. In other words,  $E_D$  indicates matching error, and  $E_S$  indicates the deviation from the regularization.

As an inverse problem, obtaining accurate flow field estimation is not a trivial task. Among various difficulties, motion boundary is a major hurdle confronting many flow estimation approaches. Because motion boundary gives the discontinuity in the motion field, it pretty much invalidates the commonly used spatial smoothness regularization for the motion field. It implies the need to solve the segmentation of the motion field, implicitly or explicitly.

There have been various approaches to address the motion discontinuity issue by proposing different prior models for the motion field, such as the layered motion model [28], discontinuous MRF model [22, 26], fusion model [17], etc. These methods either need to perform computationally intensive inference of latent variables, or need to differentiate motion boundaries from other edges. Of particular interest is a recent initial attempt to pursue a sparse representation for the motion field, even if the motion field has discontinuities at unknown places. Introducing a sparse prior for the motion field, e.g., requiring  $\nabla \mathbf{u}$  to be sparse, in the regularization term  $E_S$  has produced quite encouraging results [25, 16].

Ideally, the motion field should be sparse. However, in reality simply imposing the sparsity prior on motion field [25] may not give very satisfactory results all the time. As described in later sections, imposing sparsity on motion field directly tends to over-sparsify it. This is mainly because of the influence from the matching term  $E_D$ , as in general the matching of the pixels contains errors. Such matching errors are induced from different factors and thus have different properties. One factor is the assumed matching criterion, e.g., the brightness constancy in optical flow. Complications in illumination do not make it true everywhere. In addition, the optical flow constraint is not held exactly, but as a first order approximation under a small motion. We can call it *model error*. Besides, there is another type of matching error induced by the motion boundary. At the motion boundary, some pixels that are visible in the previous frame may be occluded in the next frame. Because such pixels do not actually have their matching counterparts, forcefully and regardlessly matching them will result in a large error. We can call it *missing correspondences er*ror. The estimated motion is a balance between the matching error  $E_D$  and the deviation error from the regularization  $E_S$ . If the matching error in  $E_D$  is sparse, it can be absorbed by the sparse error in  $E_S$ , then the methods using sparse flow regularization work well. But when the matching error is not sparse and cannot be absorbed by  $E_S$ , the compromise between  $E_D$  and the sparsity-regularized  $E_S$ can not be made, and thus leading to an inaccurate flow estimation. Unfortunately, in reality, the matching error  $E_D$ can be non-sparse (in part due to the model error).

In view of this, special treatment needs to be taken for flow regularization rather than simply over-sparsify the flow field. In this paper, we propose a new regularization approach that imposes both smoothness and sparsity regularization for the flow field. In this approach, the regularization deviation error in  $E_S$  is decomposed into a sparse component and a non-sparse component. The sparse component regularizes the large deviation (e.g., due to missing correspondences) via a  $L_0$  norm penalty; and the non-sparse component regularizes the small universal error via a  $L_2$ norm penalty. We also use the same error-decomposition strategy for  $E_D$ . This paper presents two algorithms for the optimization task. One is a non-convex solver, and the other one is a more efficient convex solver. Our experiments on the Middlebury benchmark dataset verifies that our approach can achieve very satisfactory performance, without using many heuristics. In addition, our further study reveals a very interesting connection between this error decomposition approach and the influence function approach in robust statistics. This is an original attempt to bridge the two seemingly different approaches.

The rest of the paper is organized as follows. Section 2 reviews related work. In Section 3, we study the statistics on flow fields and introduce our new regularization model. An efficient optimization method is proposed in Section 4 to solve the problem efficiently. Section 5 reveals the connection between this model and robust function. We validate and evaluate our approach in extensive experiments in Section 6 and conclude in Section 7.

#### 2. Related Work

Estimating motion field is a fundamental problem in computer vision and it has been intensively studied in the past several decades. This section does not intend to give a comprehensive survey of the literature, but only describes the methods that are related to our work in this paper. We categorize these methods based on the data consistency term  $E_D$  and the motion regularization term  $E_S$ .

## 2.1. Data consistency term

Defining the matching between image frames is critical, especially for motion field estimation which needs fine matching at the pixel level. A general treatment is to assume brightness (or color) consistency between two consecutive frames  $I_1$  and  $I_2$  as  $I_1(\mathbf{x}) = I_2(\mathbf{x} + \mathbf{u})$ , where  $\mathbf{x}$  is the pixel location and  $\mathbf{u}$  is the motion. In view of this, the data consistency term evaluates the matching error by:

$$E_D(\mathbf{u}) = \sum_{\mathbf{x}} \phi(I_1(\mathbf{x}) - I_2(\mathbf{x} + \mathbf{u})), \qquad (2)$$

where  $\phi(\cdot)$  measures the matching error. For example, it can be a commonly used  $L_2$  norm [15, 19] by assuming the matching errors bear a Gaussian distribution. However, as illumination and occlusion invalidate this brightness consistency, there exist outliers that lead to large matching errors and ruin motion estimation.

To handle these outliers,  $\phi(\cdot)$  can be chosen as a robust influence function to suppress the large matching errors induced by the outliers [4, 5]. Various forms of influence function have been proposed and compared [3], including the use of  $L_1$  norm  $\phi(s) = |s|$  as in [32], the nonconvex  $L_{0.9}$  norm  $\phi(s) = |s|^{0.9}$  as in [16], the regularized TV penalty  $\phi(s^2) = \sqrt{s^2 + \epsilon^2}$  (also called "Charbonnier penalty") as in [8, 7, 9], the non-convex Lorentzian function [5, 26, 22], and the Geman-McClure function [17], etc. The introduction of robust influence function generally improves the performance of optical flow methods, although we yet to find a principled way to choose the best form and tune the parameters of the influence function.



Figure 2. A statistical comparison of ground truth data with various high-performing algorithms including Classic+NL [27], Sparse-occlusion[2] and Wedel's improved  $TV - L_1$ -improved[33] on  $\nabla \mathbf{u}$  (b)(e) and  $I_1(\mathbf{x}) - I_2(\mathbf{x} + \mathbf{u})$  (c)(f). The red line is a low-shape fitting of ground truth data.

Besides the brightness consistency, other consistencies can also be imposed, for example the image gradient consistency [7, 8]:  $\nabla I_1(\mathbf{x}) = \nabla I_2(\mathbf{x} + \mathbf{u})$ . Gradient consistency is generally less sensitive to illumination, but it does not constrain smooth regions that do not have significant image gradients. A good practice is to combine the brightness and image gradient consistency [34, 7].

A very recent approach different from the use of robust function was to combine  $L_2$  and  $L_0$  norm [2]. The matching error can be decomposed and measured by two terms: an  $L_2$  norm term for the small but dense error, and an  $L_0$  norm term for the large but sparse error. Identifying the sparse errors may lead to the detection of occlusion [2].

Our proposed approach is inspired by this error separation idea as well as the classical "Mumford-Shah" varational model[20], but has different treatments. First, we also decompose the motion field regularization error, in addition to the matching error as done in [2]. This has the benefit of not over-sparsifying the motion field regularization. Second, our approach uses a dynamic combination of these two error norm terms rather than the fixed combination as done in [2]. This dynamic weighting scheme is based on an annealing strategy, and it is able to alleviate the problem of local minima. As a result, it significantly improves the performance. Third, we give a new solution to the optimization task. Our new convex optimization algorithm converges faster, is less sensitive to parameter settings and it is highly parallel. More importantly, our study gives the first attempt to reveal the very interesting connection between this error separation approach and the robust influence function approach.

#### 2.2. Motion Prior Regularization Term

Motion field estimation is an inverse problem. The data consistency term alone cannot well constrain the solution, because it treats the motion at individual pixels independently. It is critical to regularize the solution by imposing the constraints for the motion field (or the motion prior). A commonly used regularization term is to assume smooth total variation of the motion field:

$$\int \phi(\sqrt{||\nabla \mathbf{u}_x(\mathbf{x})||^2 + ||\nabla \mathbf{u}_y(\mathbf{x})||^2}) d\mathbf{x}$$
(3)

Original Horn-Schunck model [15] takes  $\phi$  as the  $L_2$  norm. Non-convex robust cost function can also be introduced such as the Charbonnier penalty in [9]. In fact, the motion field may not be smooth everywhere, but observes discontinuity at the motion boundary. Considering the image content, edge preserving filters can be used to penalize motiondiscontinuity more on the uniform regions and less on the non-smooth regions [34, 2, 14].

The prior of the motion field can actually be modeled by Markov random fields. To include high-order interactions in the field, the field of expert (FOE) model has been proposed [23], where each expert is a student-t distribution. In addition, the Gaussian scale mixture model [31] was also investigated in motion analysis [26]. The endeavor along this line leads to more complicated generative model for the motion prior [18].

Different from the above approaches, a recent study showed that the motion field prior may have a sparse representation, even if the motion field includes motion discontinuities [25]. Using a learned sparsity of the motion field for regularization has led to quite encouraging results [16]. Our proposed method also uses sparsity regularization, but it is different from these existing methods. Statistics show that these existing methods tend to impose an over-sparsified regularization. And our approach decomposes the motion field for both sparse and non-sparse regularization.

## 3. Spatial Prior of Optical Flow

#### 3.1. Over-sparsify or Over-smooth?

As it is generally accepted, a quadratic spatial regularization term  $||\nabla \mathbf{u}_x||^2 + ||\nabla \mathbf{u}_y||^2$  [19][15] produces oversmoothed flow fields on motion boundaries. Various robust penalty functions are proposed [4] [5] to tackle this problem by assuming piecewise smoothness. According to the theoretical development of compressive sensing [12] [10], a  $L^q$ -norm with  $0 < q \leq 1$  enables us to pursue a sparse model for better results.

However, the flow field is not as sparse as we expect. In a recent work of sparse coding flow fields [25], it showed that the motion field is nearly sparse but with non-sparse small dense errors. The reason, in our opinion, is two-fold: (1) the optical flow equation  $I_x \mathbf{u}_x + I_y \mathbf{u}_y + I_t = 0$  is an approximation under the small motion assumption; (2) natural image sequences contain deformation, non-translational motion, illumination changes and other small noises.

In Fig. 2, we show a statistical comparison of motion variation and color deviation of the ground truth and of those generated from various high-performing algorithms, including  $TV - L^1$ -improved [33], Sparse Occlusion [2], Classic+NL [27]. We exclude both occluded and discontinuous motion pixels with large motion variations as well as large color deviation. It is obvious that the deviation error of flow fields is over-sparsified at  $\nabla u \rightarrow 0$ , although the matching error is as sparse as we expect.

#### 3.2. Sparse/Non-Sparse Error Separation

Different from many existing methods trying to find one universal fitting model for the motion field, we assume that  $\nabla \mathbf{u}_x$  contains two components:

$$\nabla \mathbf{u}_x(\mathbf{x},t) \triangleq \begin{cases} n_{\mathbf{u}_x}(\mathbf{x},t) & \mathbf{x} \in D \setminus \Omega \\ e_{\mathbf{u}_x}(\mathbf{x},t) & \mathbf{x} \in \Omega \end{cases}$$
(4)

where  $\Omega$  denotes the motion discontinuity regions. The same notations of  $n_{\mathbf{u}_x}$  and  $e_{\mathbf{u}_x}$  are defined for  $\mathbf{u}_y$ . Therefore, we use  $n_{\mathbf{u}_*}$  to refer to  $n_{\mathbf{u}_x}$  and  $n_{\mathbf{u}_y}$ , and  $e_{\mathbf{u}_*}$  to  $e_{\mathbf{u}_x}$  and  $e_{\mathbf{u}_y}$ . In this error separation model,  $e_{\mathbf{u}_*}$  describes the variation at motion discontinuity regions, and it tends to be sparse.  $n_{\mathbf{u}_*}$  covers the rest regions, showing universal small variations.

In Sparse-Occlusion [2], a Gaussian assumption of small bias is made for color deviation from Lambertian reflection. But in the case of  $\nabla \mathbf{u}_x$  and  $\nabla \mathbf{u}_y$ , it is more complicated: if only translational motion exists, a Gaussian model is a good approximation; but in real motion, affine motion and

deformation will complicate the case. Our statistical results reveal that the universal motion variation  $n_{u_*}$  is a Gaussianlike distribution with a heavier tail. Therefore, for  $n_{u_*}$ , we assume a generalized Student's t-distribution:

$$n_{\mathbf{u}_{*}} \sim \frac{\Gamma(\frac{\nu+1}{2})}{\Gamma(\frac{\nu}{2})} (\frac{1}{\pi\nu\sigma})^{\frac{1}{2}} [1 + \frac{n_{\mathbf{u}_{*}}^{2}}{\nu\sigma}]^{-\frac{\nu+1}{2}}$$

or a scale-mixture-of-Gaussian:

$$n_{\mathbf{u}_*} \sim \sum_i \pi_i \frac{1}{\sigma_i} e^{-\frac{n_{\mathbf{u}_s}^2}{2\sigma_i^2}}$$

In the case of small deviation  $n_{\mathbf{u}_*} \to 0$ , this can be safely approximated by a Gaussian distribution.

## 4. Joint Estimation of Motion and Errors

#### 4.1. Our Motion Regularization Term

Under the assumption discussed above, we seek to estimate a flow field by minimizing the number of nonzero elements of  $e_{\mathbf{u}_*}$  as well as the negative log-likelihood of  $n_{\mathbf{u}_*} = \nabla \mathbf{u}_* - e_{\mathbf{u}_*}$ :

$$E_{S}(\mathbf{u}, e_{\mathbf{u}_{*}}) \triangleq \sum_{\mathbf{x}} \lambda_{1} \log(1 + \frac{||\nabla \mathbf{u}_{*} - e_{\mathbf{u}_{*}}||^{2}}{\sigma}) + \lambda_{2} ||e_{\mathbf{u}_{*}}||_{L^{0}}$$
$$\approx \sum_{\mathbf{x}} \frac{\lambda_{1}}{\sigma} ||\nabla \mathbf{u}_{*} - e_{\mathbf{u}_{*}}||^{2} + \lambda_{2} ||e_{\mathbf{u}_{*}}||_{L^{0}}$$

where  $* \in \{x, y\}$  denotes both x and y directions, and  $e_{\mathbf{u}_*}$  is the unknown sparse component of  $\nabla \mathbf{u}_*$ .

#### 4.2. Color consistency

For color consistency, we also adopt the separated penalty function of data term proposed in [2]. In their work, the source of color matching error is assumed to come from two components: a sparse occlusion  $e_D(\mathbf{x})$  and a non-sparse Lambertian reflection  $n_D(\mathbf{x})$  as:

$$I_1(\mathbf{x}) = \begin{cases} I_2(\mathbf{x} + \mathbf{u}) + n_D(\mathbf{x}), \ \mathbf{x} \in D \setminus \hat{\Omega} \\ e_D(\mathbf{x}), \ \mathbf{x} \in \hat{\Omega} \end{cases}$$
(5)

where  $\hat{\Omega}$  denotes the occlusion regions. Then we have:

$$E_D(\mathbf{u}, e_D) = \sum_{\mathbf{x}} ||I_1(\mathbf{x}) - I_2(\mathbf{x} + \mathbf{u}) - e_D||_{L^2}^2 + \mu ||e_D||_{L^0}$$
(6)

#### **4.3. Optimization Methods**

For a small motion, we make the first-order approximation for linearization  $I_2(\mathbf{x}) = I_1(\mathbf{x}) + \nabla I_1(\mathbf{x})\mathbf{u}(\mathbf{x})$  and infer the flow fields  $\mathbf{u}$  as well as the sparse  $e_D$ ,  $e_{\mathbf{u}}$  simultaneously as:

$$\{\hat{\mathbf{u}}, \hat{e_D}, \hat{e_u}\} = \arg\min_{\{\mathbf{u}, e_D, e_u\}} E_D(\mathbf{u}, e_D) + E_S(\mathbf{u}, e_u)$$
 (7)

```
      Optical Flow Optimization

      repeat

      Initialize rL^1 coefficients in eq(7) as 1;

      repeat

      compute d\mathbf{u}, e_D, e_{\mathbf{u}} to optimize eq(7)

      reweighting the L^1 norm coefficients;

      end

      \mu \to \mu/2, \lambda_2 \to \lambda_2/2;

      until \mu < \mu_{\min} and \lambda_2 < \lambda_{2,\min}

      Table 1. Algorithm for flow estimation.
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In practice, the optimization of  $L_0$ -norm is NP-hard. We relax it to a consequential reweighted  $L_1$  method [11] to iteratively solve the non-convex programming problem and provide two optimization algorithms here to solve Equation (7) as shown in Table 1.

#### 4.3.1 A Non-convex Solver

Similar to the method in [2], we use Nesterov's gradientbased energy function minimization scheme [21] to solve the problem. At each iteration we use a weighted combination of current descent direction  $\nabla E^k(\mathbf{u}, e_D, e_{\mathbf{u}})$  with previous iteration  $\sum_{i=1}^{k-1} \nabla E^i(\mathbf{u}, e_D, e_{\mathbf{u}})$ , appropriately scaled by a Lipschitz constant. We refer readers to [2, 21] for a detailed description.

#### 4.3.2 A Convex Solver

We propose a more efficient solution here. The quadratic approximation of equation(7) enables us to apply a fast convex optimization strategy:

$$\begin{aligned} \{\hat{d}\mathbf{u}, \hat{e}_D^k, \hat{e}_S^k\} &= \arg\min\sum_{\mathbf{x}} ||I_x^k d\mathbf{u}_x + I_y^k d\mathbf{u}_y + I_t^k - e_D^k||^2 \\ &+ \mu ||e_D^k||_{rL^1} + \frac{\lambda_1}{\sigma} ||\nabla \mathbf{u} - e_{\mathbf{u}}^k||^2 + \lambda_2 ||e_{\mathbf{u}}^k||_{rL^1} \end{aligned}$$

where  $||.||_{rL^1}$  denotes a reweighted  $L^1$  norm and  $\mathbf{u} = \mathbf{u}^0 + d\mathbf{u}$ . We employ a coordinate-descent algorithm to solve the problem:

(1) Fix **u**, we optimize  $e_D$  and  $e_{\mathbf{u}_*}$ :

$$\hat{e}_{D} = \arg\min_{e_{D}} ||I_{x}^{k} d\mathbf{u}_{x} + I_{y}^{k} d\mathbf{u}_{y} + I_{t}^{k} - e_{D}^{k}||^{2} + \mu ||e_{D}||_{rL^{1}}$$
$$\hat{e}_{\mathbf{u}_{*}} = \arg\min_{e_{\mathbf{u}_{*}}} \frac{\lambda_{1}}{\sigma} ||\nabla \mathbf{u}_{*} - e_{\mathbf{u}_{*}}||^{2} + \lambda_{2} ||e_{\mathbf{u}_{*}}||_{rL^{1}}$$

This can be solved by the shrinkage formula [13] very efficiently and highly parallel in nature. (2) Fix  $e_D$  and  $e_{u_*}$  and solve u:

$$d\mathbf{u} = \arg\min_{d\mathbf{u}} ||I_x^k d\mathbf{u}_x + I_y^k d\mathbf{u}_y + I_t^k - e_D^k||^2 + \frac{\lambda_1}{\sigma} ||\nabla \mathbf{u}_* - e_{\mathbf{u}_*}||^2$$

which is a quadratic programming problem and has a closed form solution.



Figure 3. Optical flow estimation. (a) Original image; (b) inferred support of  $e_{u}$ ; (c) inferred support of  $e_{D}$ ; (d) final result; (e)(f) close up show of our results.

#### 4.4. Model Refinement

## 4.4.1 Edge-Preserving Spatial Regularization

Edge-preserving regularization [32] [34] can also be naturally integrated into our model to further improve the performance. Let  $w(\mathbf{x}) \triangleq \exp(-||\nabla I_1(\mathbf{x})||^{\kappa})$ :

$$E_S(\mathbf{u}) = \sum_{\mathbf{x}} w(\mathbf{x}) \left(\frac{\lambda_1}{\sigma} || (\nabla \mathbf{u}_* - e_{\mathbf{u}_*}) ||^2 + \lambda_2 ||e_{\mathbf{u}_*}||_{L^0}\right)$$

#### 4.4.2 Boosted-joint Sparsity Pursuit Refinement

In our experiments, we find the spatial distribution of motion discontinuity  $\Omega$  and occlusion region  $\hat{\Omega}$  are strongly correlated. The supports of  $e_D$  and  $e_u$  can be strong clues to each other. Inspired by the recent work on joint-sparse recovery in statistics society [29], we use  $\Omega \cup \hat{\Omega}$  as the support candidates of  $e_u$  and  $e_D$  to further boost the performance.

In Figure(3), we show the optimization process. (b) and (c) indicate the support  $\Omega$  and  $\hat{\Omega}$ . (e) and (f) show close-ups in final flow result (d).

## 5. Robust Sparse/Non-sparse Error Separation

Using fixed separation coefficient  $\lambda_1$ ,  $\lambda_2$  and  $\mu$  does not always produce good results. However, in our experiments, we find that a dynamic weighting scheme, i.e., gradually decreasing the coefficients  $\mu$  and  $\lambda_2$ , yields a leap in performance. Intuitively, it is an annealing method to avoid local minima. For a further exploitation, we investigate the error-separated cost function:

$$\phi(x) \triangleq \inf_{e} (\lambda_1 ||x - e||^2 + \lambda_2 ||e||_{L^0}) \tag{8}$$

by using different  $\lambda_1, \lambda_2$  as shown in Fig(4(a)). We also tried different mathematical forms of error-separation and give another example in Fig(4(b)).



Figure 4. The shape of the error-separation cost function appears very similar to the influence function in robust statistics.

As we can see, the shape of the functional penalty  $\phi(x)$  looks very similar to the robust cost function dated back to 1990s. If we date back even earlier to the classical variational "Mumford-Shah" model [20, 6], proposed in 1989 to treat inner-region  $R_i$  and boundary  $\Gamma$  differently as:

$$E(f,\Gamma) = \mu^2 \iint_R (f-g)^2 dx dy + \iint_{R-\Gamma} ||\nabla f||^2 dx dy + \nu |\Gamma|$$
(9)

while our regularized term is actually a variation with a discrete space. Interestingly, the robust functions mentioned above have always been applied to solve the "Mumford-Shah" model.

In [4] different forms of robust function  $\phi(x)$  were studied for matching error and spatial regularization including truncated quadratic function, Geman-McClure and Lorentzian. A generalized regularized TV penalty is used in Classic+NL [27]. All these functions have  $\phi(x) = O(x^2)$ at  $x \to 0$  and a sub-linear increase when x becomes larger. Let's take a truncated quadratic function  $\phi(x) =$  $\min\{x^2, \sigma\}$  as an example: the influence of parameter  $\sigma$ controls the shape around  $x \approx 0$ , which plays a similar role as selecting different  $\lambda_1$  and  $\lambda_2$  in our approach. As shown in Figure4(a), our dynamic weighting gradually changes the "shape" of the related robust function: at the first few initial steps, we use large  $\lambda_2$  and  $\mu$  for a warm start; then as the flow estimation is becoming more accurate, we decrease



Figure 6. Results of our algorithm on Middlebury training set [3].

these weights to reflect better precision and introduce sparsity gradually into the estimation.

This annealing scheme has also been practiced in some state-of-the-art methods and achieved good performance. As done in Learning-Flow [26], a series of mixed cost function  $E_C(\mathbf{u}) = \alpha E_Q(\mathbf{u}) + (1 - \alpha)E(\mathbf{u})$  is employed to vary the convexity, where  $E_Q(\mathbf{u})$  and  $E(\mathbf{u})$  stand for quadratic and non-convex penalty functions. Classic+NL [27] well studied the secrets of optical flow and used a quadratic cost function in optimization for initialization and gradually changed the penalty form to non-concave. As shown in this section, the connection between error-separation and robust function provides more insights to this practice.

#### 5.1. A Natural Selective Mechanism

Many studies [25, 27, 28, 22] suggest that: simply combining different priors will only lead to an average performance, while selection and fusion [34, 1, 17] bring improvement. The early attempt of using robust function is itself a natural selective process: different components of  $\nabla \mathbf{u}$  decouples the middle-level segmentation and low-level flow estimation. As in Figure 5, the spatial support of  $e_{\mathbf{u}}$ indicates a motion segmentation boundary of the scene.

## 6. Experimental Results

To evaluate our approach, we use the Middlebury benchmark dataset. To allow for illumination changes between frames, we pre-process images with the structure-texture decomposition [24]. We implement our approach in a coarse-to-fine/warping strategy to tackle large motion.

## 6.1. Validation

Our first experiment is to validate our sparse/non-sparse error separation approach. In Table 2, we compare our method with  $l_1$ -TV-improved[33] and sparse-occlusion[2]. The improvement is obvious and significant.

In Figure. 6, we show the flow estimation results on the Middlebury benchmark sequences.



Figure 5. The spatial support of  $e_{u}$  on Middlebury benchmark.

AEPE	Venus	RubberWhale	Hydrangea	Grove2	Grove3	Urban2	Urban3	Dimetrodon
(ours)	0.23	0.07	0.14	0.10	0.48	0.21	0.37	0.12
[2]	0.30	0.18	0.19	0.16	0.59	0.39	0.84	0.15

Table 2. Performance comparison on Middlebury train set.

## **6.2. Overall Performance**

Our algorithms are highly competitive with the state-ofthe-art as shown in Figure7. The top-ranked MDP-flow [34] uses extended flow initialization to preserve small-scale motion structures; Layers++ [28] uses a graphical model to address depth order; TC [30] makes use of multiple frame temporal coherency; LSM [16] learns a sparse regional model to code spatial flow field. We have not addressed these issues in our work. We mainly aim to show the effectiveness of sparse/non-sparse error separation.

# 7. Conclusion and Future Work

In this work, we introduce a novel generalized sparse/non-sparse error separation approach to optical flow fields estimation. By exploiting the statistics on optical flow fields data set, we find that the gradients of flow fields come from two sources: a sparse large motion-discontinuity component and a small dense Gaussian component. Based on that, we propose a novel regularization function to explicitly model the decomposition. We propose two efficient algorithms to solve the optimization problem. Then, we exploit how the separation coefficients influence the performance, which reveals the in-depth connection between the noise separation approach and the robust penalty function.

Our experiments on the Middlebury benchmark dataset clearly show that our approach can achieve very satisfactory performance, without using many heuristics.

# 8. Acknowledgement

This work was supported in part by National Science Foundation grant IIS-0347877, IIS-0916607, US Army Research Laboratory and the US Army Research Office under grant ARO W911NF-08-1-0504, and DARPA Award FA 8650-11-1-7149.

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Average angle		Army (Hidden texture) /g. <u>GT im0 im1</u>			Mequon (Hidden texture)			Schefflera (Hidden texture)			(Hit	Woode dden te	en kture)	(	Grove	ic)		Urbar	n ic)	Yosemite (Synthetic)			Teddy (Stereo)		
error	avg.				GT	im0	im1	GT	im0	im1	GT	im0	im1	GT	im0	im1	GT	im0	im1	GT	im0	im1	GT	im0	im1
101 C	rank	all	disc	untext	all	disc	untext	all	disc	untext	all	disc	untext	all	disc	untext	all	disc	untext	all	disc	untext	all	disc	untext
Layers++ [38]	6.9	3.11 2	8.22	2 2.797	2.43	7.02	1 2.24 11	2.43 1	5.77	1 2.18 12	2.13 1	9.71	1.15 1	2.35 1	3.02	1.96 1	3.81 13	11.4 1	3.22 18	2.74 22	4.01 2	6 2.35 20	1.45 2	3.05	1.797
MDP-Flow2 [40]	7.0	3.32 8	8.76	6 2.85 9	2.18	7.47	3 1.85 4	2.77 3	6.95	3 2.06 9	3.25 15	17.3 1	9 1.59 13	2.87 7	3.73	5 2.32 5	3.15 3	11.1	9 2.65 3	2.04 7	3.64 1	2 1.60 8	1.88 7	4.49	1.49 2
[60]	7.8	<u>3.14</u> 4	8.75	5 2.76 5	3.02 17	10.6 1	4 2.43 10	<u>3.45</u> 9	8.96	9 2.36 15	2.66 a	13.7	4 1.42 2	2.85 6	3.75	7 2.33 e	3.28	9.40	3 2.73 e	2.42 15	3.31	4 2.69 24	<u>1.47</u> з	3.07	1.66 4
LSM [41]	8.5	<u>3.12</u> з	8.62	3 2.75 4	3.00 10	10.5 1	3 2.44 17	3.437	8.85	8 2.35 14	2.66 3	13.6	3 <b>1.44</b> 3	2.82 4	3.68	3 2.36 8	3.38 7	9.41	4 2.81 10	2.69 21	3.52 1	0 2.84 28	1.59 4	3.38	1.80 8
TC-Flow [48]	9.1	2.91 1	8.00	1 2.34 2	2.18	8.77	7 1.52 1	3.84 14	10.7 1	5 1.49	3.13 11	16.6 1	5 1.46 5	2.78 3	3.73	5 1.96 1	3.08 2	11.4 1	2.66 4	<u>1.94</u> e	3.43	6 3.20 41	3.06 18	7.04 1	3 4.08 34
Classic+NL [31]	10.1	3.20 e	8.72	4 2.81 8	3.02 17	10.6 1	4 2.44 17	3.46 10	8.84	7 2.38 17	2.78 5	14.3	5 1.46 5	2.83 5	3.68	3 2.31 4	<u>3.40</u> a	9.09	1 2.76 9	2.87 27	3.82 1	e 2.86 32	<u>1.67</u> e	3.53	5 2.26 12
									(a)	) Ave	erage	Ang	gle Ei	ror											

Average endpoint (Hi		(Hid	Army (Hidden texture)			Mequon (Hidden texture)			Schefflera (Hidden texture)			Woode dden tex	n ture)	Grove (Synthetic)			(\$	Urban Syntheti	c)	)	osem Synthe	ite tic)	Teddy (Stereo)		
error	avg.	GT	<u>im0</u>	im1	GT	im0	im1	GT	im0	im1	GT	im0	im1	GT	im0	im1	GT	im0	im1	GT	im0	im1	GT	im0	im1
	rank	all	disc	untext	all	disc	untext	all	disc	untext	all	disc	untext	all	disc	untext	all	disc	untext	all	disc	untext	all	disc	untext
MDP-Flow2 [40]	5.5	0.09 7	0.23	3 0.07 a	0.16	0.52	1 0.13 3	0.22 2	0.46	3 0.17 4	0.17 12	0.93 20	0.09 5	0.65 4	0.98 5	0.43 4	0.29 1	0.91	0.26 з	0.117	0.13 1	0.178	<u>0.51</u> a	1.111	0.727
Layers++ [38]	6.2	0.08 2	0.21	1 0.07 3	0.19	0.56	3 0.17 13	0.20 1	0.40	1 0.18 8	0.13 1	0.58 1	0.07 1	0.48 1	0.70 1	0.33 2	0.47 12	1.01 :	0.33 12	0.15 28	0.14 1	9 0.24 24	0.46 1	0.88	1 0.727
[60]	8.7	0.08 2	0.23	s 0.07 s	0.22 13	0.73 1	5 0.18 15	0.28 s	0.64	в <b>0.19</b> 13	0.14 2	0.714	0.08 2	0.67 7	0.997	0.487	0.49 15	1.06	0.32 9	0.14 21	0.11	1 0.28 36	0.49 3	0.98	2 0.73 10
LSM [41]	9.4	0.08 2	0.23	B 0.07 3	0.22 13	0.73 1	5 0.18 15	0.28 s	0.64	e 0.19 13	0.14 2	0.70 2	0.09 5	0.66 5	0.97 4	0.487	0.50 18	1.06	0.33 12	0.15 28	0.12	5 0.29 39	0.50 5	0.99	4 0.73 10
TC-Flow [48]	9.5	0.07 1	0.21	0.06 1	0.15	0.59	4 0.11 1	0.31 14	0.78 1	5 0.14 1	0.167	0.86 13	0.08 2	0.75 12	1.11 13	0.54 11	0.42 s	1.40 17	0.25 2	0.117	0.12	5 0.29 39	0.62 14	1.35 1	5 0.93 26
Classic+NL [31]	10.4	0.08 2	0.23	B 0.07 3	0.22 13	0.74 1	7 0.18 15	0.29 11	0.65 1	1 0.19 13	0.15 4	0.73 5	0.09 5	0.64 з	0.93 3	0.47 5	0.52 18	1.12 8	0.33 12	0.16 32	0.13 1	0 0.29 39	0.49 3	0.98	2 0.74 13

(b) Average Endpoint Error

Figure 7. Experimental results of our algorithm on Middlebury test set [3]. Our method is rank the 3rd for both Average Angle Error (AAE) and Average End-point Error (AEPE).

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